MEDICAID WAIVER ASSESSMENT

SECTION I – RECIPIENT DEMOGRAPHICS

Name *(last, first, middle)*	Date of birth *(mo., day, yr.)* / /	Medicaid number
Street address	County code / Sex *(check one)* ☐Male ☐Female	Marital status *(check one)* ☐Divorced ☐Married ☐Separated ☐Single ☐Widowed
City, state and zip code	Emergency contact *(name)*	Emergency contact *(phone #)* ( ) -
Recipient phone number ( ) -	Is recipient able to read and write ☐Yes ☐No	Recipient's height Recipient's weight

SECTION II – RECIPIENT WAIVER ELIGIBILITY

Type of program applied for *(check one)* ☐ Home and Community Based Waiver ☐ Model Waiver II ☐ Homecare Waiver ☐ Personal Care Assistance Waiver	Type of application *(check one)* ☐ Certificaton ☐ Re-certification
Recipient admitted from *(check one)* ☐Home ☐Hospital ☐Nursing facility ☐Other	Certification period *(enter dates below)* Begin date / / End date / /
Has recipient's freedom of choice been explained and verified? ☐Yes ☐No	Has recipient been informed of the process to make a complaint ☐Yes ☐No (*see instructions*)

Physician's name	Physician's license number (enter 5 digit #)	Physician's phone number ( ) -

Enter recipient diagnosis(es): Primary:
Secondary:
Others:

SECTION III – PROVIDER INFORMATION

Provider name	Provider number	Provider phone number ( ) -
Street address	City, state and zip code	
Provider contact person		

SECTION IV – ACTIVITIES OF DAILY LIVING

1) Is recipient independent with **dressing/undressing** ☐Yes ☐No*(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues ☐ Requires hands-on assistance with upper body ☐ Requires hands-on assistance with lower body ☐ Requires total assistance	Comments:
2) Is recipient independent with **grooming** ☐Yes ☐No*(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues Requires hands-on assistance with ☐ oral care ☐shaving ☐ nail care ☐hair ☐ Requires total assistance	Comments:

3) Is recipient independent with **bed mobility** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues ☐ Occasionally requires hands-on assistance ☐ Always requires hands-on assistance ☐ Bed-bound	Comments:
4) Is recipient independent with **bathing** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues ☐ Requires hands-on assistance with upper body ☐ Requires hands-on assistance with lower body ☐ Requires Peri-Care ☐ Requires total assistance	Comments:
5) Is recipient independent with **toileting** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Bladder incontinence ☐ Bowel incontinence ☐ Occasionally requires hands-on assistance ☐ Always requires hands-on assistance ☐ Requires total assistance	Comments:
6) Is recipient independent with **eating** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues ☐ Requires assistance cutting meat or arranging food ☐ Partial/occasional help ☐ Totally fed (by mouth) ☐ Tube feeding (type and tube location)	Comments:
7) Is recipient independent with **ambulation** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Dependent on device ☐ Requires aid of one person ☐ Requires aid of two people ☐ History of falls (number of falls, and date of last fall)	Comments:
8) Is recipient independent with **transferring** ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Requires supervision or verbal cues ☐ Hands-on assistance of one person ☐ Hands-on assistance of two people ☐ Requires mechanical device ☐ Bedfast	Comments:

SECTION V - INSTRUMENTAL ACTIVITIES OF DAILY LIVING

1) Is recipient able to prepare **meals** ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for meal preparation ☐ Requires supervision or verbal cues ☐ Requires assistance with meal preparation ☐ Requires total meal preparation	Comments:

2) Is recipient able to **shop** independently ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for shopping to be done ☐ Requires supervision or verbal cues ☐ Requires assistance with shopping ☐ Unable to participate in shopping	Comments:
3) Is recipient able to perform light **housekeeping** ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for light housekeeping duties to be performed ☐ Requires supervision or verbal cues ☐ Requires assistance with light housekeeping ☐ Unable to perform any light housekeeping	Comments:
4) Is recipient able to perform heavy **housework** ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for heavy housework to be performed ☐ Requires supervision or verbal cues ☐ Requires assistance with heavy housework ☐ Unable to perform any heavy housework	Comments:
5) Is recipient able to perform **laundry** tasks ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for laundry to be done ☐ Requires supervision or verbal cues ☐ Requires assistance with laundry tasks ☐ Unable to perform any laundry tasks	Comments:
6)) Is recipient able to plan/arrange for pick-up, delivery, or some means of gaining possession of **medication(s)** and take them independently ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for medication to be obtained and taken correctly ☐ Requires supervision or verbal cues ☐ Requires assistance with obtaining and taking medication correctly ☐ Unable to obtain medication and take correctly	Comments:
7) Is recipient able to handle **finances** independently ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Arranges for someone else to handle finances ☐ Requires supervision or verbal cues ☐ Requires assistance with handling finances ☐ Unable to handle finances	Comments:
Name *(last, first)*	Medicaid Number

8) Is recipient able to use the **telephone** independently ☐Yes ☐No *(If no, check below all that apply and explain in the comments)* ☐ Requires adaptive device to use telephone ☐ Requires supervision or verbal cues ☐ Requires assistance when using telephone ☐ Unable to use telephone	Comments:
SECTION VI-MENTAL/EMOTIONAL	
1) Does recipient exhibit **behavior** problems ☐Yes ☐No *(If yes, check below all that apply and explain the frequency in comments)* ☐ Disruptive behavior ☐ Agitated behavior ☐ Assaultive behavior ☐ Self-injurious behavior ☐ Self-neglecting behavior	Comments:
2) Is the recipient diagnosed with one of the following: ☐Yes ☐No *(If yes, check below and comment)* ☐Intellectual Disability/IQ=___ (Date-of-onset / /) ☐ Developmental Disability (Date-of-onset / /) ☐ Mental Illness (Date-of-onset / /)	Comments:
3) Is recipient **oriented** to person, place, time ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Forgetful ☐ Confused ☐ Unresponsive	Comments:
4) Has recipient experienced a major change or crisis within the past twelve months ☐Yes ☐No (*If yes, describe*)	Description:
5) Is the recipient actively participating in social and/or community activities ☐Yes ☐No (*If yes, describe*)	Description:
6) Is the recipient experiencing any of the following *(For each checked, explain the frequency and details in the comments section)* ☐Difficulty recognizing others ☐Loneliness ☐Sleeping problems ☐Anxiousness ☐Irritability ☐Lack of interest ☐Short-term memory loss ☐Long-term memory loss ☐Hopelessness ☐Suicidal behavior ☐Medication abuse ☐Substance abuse	Comments:

Name *(last, first)* Medicaid Number

SECTION VII-CLINICAL INFORMATION	
1) Is recipient's **vision** adequate *(with or without glasses)* ☐Yes ☐No ☐Undetermined *(If no, check below all that apply and comment)* ☐ Difficulty seeing print ☐ Difficulty seeing objects ☐ No useful vision	Comments:
2) Is recipient's **hearing** adequate *(with or without hearing aid)* ☐Yes ☐No ☐Undetermined *(If no, check below all that apply, and comment)* ☐ Difficulty with conversation level ☐ Only hears loud sounds ☐ No useful hearing	Comments:
3) Is recipient able to **communicate** needs ☐Yes ☐No *(If no, check below all that apply and comment)* ☐ Speaks with difficulty but can be understood ☐ Uses sign language and/or gestures ☐ Inappropriate context ☐ Unable to communicate	Comments:
4) Does recipient maintain an adequate **diet** ☐Yes ☐No *(If no, check all that apply and comment)* ☐ Uses dietary supplements ☐ Requires special diet (low salt, low fat, etc.) ☐ Refuses to eat ☐ Forgets to eat ☐ Tube feeding required *(Explain the brand, amount, and frequency in the comments section)*	Comments:
5) Does recipient require **respiratory** care and/or equipment ☐Yes ☐No *(If yes, check all that apply and comment)* ☐ Oxygen therapy (Liters per minute and delivery device) ☐ Nebulizer (Breathing treatments) ☐ Management of respiratory infection ☐ Nasopharyngeal airway ☐ Tracheostomy care ☐ Aspiration precautions ☐ Suctioning ☐ Pulse oximetry ☐ Ventilator (list settings)	Comments:
6) Does recipient have history of a **stroke(s)** ☐Yes ☐No *(If yes, check all that apply and comment)* ☐ Residual physical injury(ies) ☐ Swallowing impairments ☐ Functional limitations (Number of limbs affected)	Comments:

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7) Does recipient's **skin** require additional, specialized care ☐Yes ☐No *(If yes, check all that apply and comment)* ☐ Requires additional ointments/lotions ☐ Requires simple dressing changes (i.e. band-aids, occlusive dressings) ☐ Requires complex dressing changes (i.e. sterile dressing) ☐ Wounds requiring "packing" and/or measurements ☐ Contagious skin infections ☐ Ostomy care	Comments:
8) Does recipient require routine lab work ☐Yes ☐No *(If yes, what type and how often)*	Comments:
9) Does recipient require specialized genital and/or urinary care ☐Yes ☐No *(If yes, check all that apply and comment)* ☐ Management of reoccurring urinary tract infection ☐ In-dwelling catheter ☐ Bladder irrigation ☐ In and out catheterization	Comments:
10) Does recipient require specific, physician-ordered vital signs evaluation necessary in the management of a condition(s) ☐Yes ☐No *(If yes, explain in the comments section)*	Comments:
11) Does recipient have total or partial paralysis ☐Yes ☐No *(If yes, list limbs affected and comment)*	Comments:
12) Does recipient require assistance with changes in body position ☐Yes ☐No *(If yes, check all that apply and comment)* ☐ To maintain proper body alignment ☐ To manage pain ☐ To prevent further deterioration of muscle/joints/skin	Comments:
13) Does recipient require 24 hour caregiver ☐Yes ☐No **14)** Does recipient require respite services ☐Yes ☐No *(If yes, how often)*	

15) Does the recipient require intravenous fluids, intravenous medications or intravenous alimentation
☐Yes ☐No *(If yes, check below all that apply and list solution, location, amount, rate, frequency and prescribing physician)*

☐ **Peripheral IV** Solution:	Location	Amount/dosage	Rate
Frequency		Prescribing physician	
☐ **Central line** Solution:	Location	Amount/dosage	Rate
Frequency		Prescribing physician	

16) Drug allergies *(list)*	**17)** Other allergies *(list)*

18) Does the recipient use any medications ☐Yes ☐No *(If yes, list below)*

Name of medication	**Dosage/Frequency/Route**	**Administered by**

19) Is any of the following adaptive equipment required *(If needs, explain in the comments)*

Dentures	☐Has	☐Needs	☐N/A
Hearing aid	☐Has	☐Needs	☐N/A
Glasses/lenses	☐Has	☐Needs	☐N/A
Hospital bed	☐Has	☐Needs	☐N/A
Bedpan	☐Has	☐Needs	☐N/A
Elevated toilet seat	☐Has	☐Needs	☐N/A
Bedside commode	☐Has	☐Needs	☐N/A
Prosthesis	☐Has	☐Needs	☐N/A
Ambulation aid	☐Has	☐Needs	☐N/A
Tub seat	☐Has	☐Needs	☐N/A
Lift chair	☐Has	☐Needs	☐N/A
Wheelchair	☐Has	☐Needs	☐N/A
Brace	☐Has	☐Needs	☐N/A
Hoyer lift	☐Has	☐Needs	☐N/A

Comments:

SECTION VIII-ENVIRONMENT INFORMATION

1) Answer the following items relating to the recipient's physical environment *(Comment if necessary)*

Sound dwelling	☐Yes	☐No
Adequate furnishings	☐Yes	☐No
Indoor plumbing	☐Yes	☐No
Running water	☐Yes	☐No
Hot water	☐Yes	☐No
Adequate heating/cooling	☐Yes	☐No
Tub/shower	☐Yes	☐No
Stove	☐Yes	☐No
Refrigerator	☐Yes	☐No
Microwave	☐Yes	☐No
Telephone	☐Yes	☐No
TV/radio	☐Yes	☐No
Washer/dryer	☐Yes	☐No
Adequate lighting	☐Yes	☐No
Adequate locks	☐Yes	☐No
Adequate fire escape	☐Yes	☐No
Smoke alarms	☐Yes	☐No
Insect/rodent free	☐Yes	☐No
Accessible	☐Yes	☐No
Safe environment	☐Yes	☐No
Trash management	☐Yes	☐No

Comments:

2) Provide an inventory of home adaptations **<u>already present</u>** in the recipient's dwelling. *(Such as wheelchair ramp, tub rails, etc.)*

SECTION IX - HOUSEHOLD INFORMATION

1) Does the recipient live alone ☐Yes ☐No

If yes, does the recipient receive any assistance from others ☐Yes ☐No *(Explain)*

Name *(last, first)* Medicaid Number

2) **Household Members** *(Fill in household member info below)*			
a) Name	Relationship	Age	Are they functionally able to provide care ☐Yes ☐No *(If no, explain in the comments section)*
Comments:	Care provided/frequency		
b) Name	Relationship	Age	Are they functionally able to provide care ☐Yes ☐No *(If no, explain in the comments section)*
Comments:	Care provided/frequency		
c) Name	Relationship	Age	Are they functionally able to provide care ☐Yes ☐No *(If no, explain in the comments section)*
Comments:	Care provided/frequency		
d) Name	Relationship	Age	Are they functionally able to provide care ☐Yes ☐No *(If no, explain in the comments section)*
Comments:	Care provided/frequency		

SECTION X-ADDITIONAL SERVICE INFORMATION

1) Has the recipient had any hospital or nursing facility admissions in the past 6 months ☐Yes ☐No
(If yes, please list below)

a-Facility name	Facility address	
Reason for admission	Admission date / /	Discharge date / /
b-Facility name	Facility address	
Reason for admission	Admission date / /	Discharge date / /

2) Does the recipient receive services from other agencies *(Example: EPSDT, Aging programs, Meals on Wheels, Community action, etc.)* ☐Yes ☐No
(If yes, list services already provided and to be provided in accordance with a plan of care by an agency/organization, include Adult Day Health Care)

a-Service(s) received	Agency/worker name	Phone number ( ) -
Agency address	Frequency	Number of units
b-Service(s) received	Agency/worker name	Phone number ( ) -
Agency address	Frequency	Number of units

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c-Service(s) received		Agency/worker name	Phone number ( ) -
Agency address		Frequency	Number of units
3) Is the recipient receiving traditional home health services ☐Yes ☐No *(If yes, list below all traditional home health services that are covered by Medicare/Medicaid/Third Party Insurance)*		Anticipated home health discharge date	
a-Service(s) received	Visits per week/month _____ ☐ Per week ☐ Per month	Type of coverage *(Check all that apply)* ☐ Medicare ☐ Medicaid ☐Private Insurance ☐ Private Pay	
b-Service(s) received	Visits per week/month _____ ☐ Per week ☐ Per month	Type of coverage *(Check all that apply)* ☐ Medicare ☐ Medicaid ☐Private Insurance ☐ Private Pay	
c-Service(s) received	Visits per week/month _____ ☐ Per week ☐ Per month	Type of coverage *(Check all that apply)* ☐ Medicare ☐ Medicaid ☐Private Insurance ☐ Private Pay	

4) Summary for *(check only one)* ☐ Certification ☐ Amendment/Modification

Signature: Date / /

5) Team performing assessment or reassessment:

Signature:	Title:	Date / /
Signature:	Title:	Date / /

6) PRO Signature:	Date / /	Approval dates From: / / To: / /